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Substitute	for form 1449/PTO			Complete if Known		
				Application Number	09/760,271	
11	NFORMATIC	N DI	SCLOSURE	Filing Date	January 12, 2001	
S	STATEMENT	BY	APPLICANT	Applicant	Harris (et al)	
_	(use as many s			Art Unit	3682	
	,		• ,	Examiner Name	Alvarez, Raquel	
Sheet	1	of	11	Attorney Docket Number	0013-011P1	

			U.S. PATENT D	OCUMENTS	
Examiner Initials	Cite No.1	Document Number Number-Kind Code ^{2 (if known))}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
	AA	3,287,839	11-29-1966	J. Rotwein et al.	Figures Appear
	AB	4,593,936	06-10-1986	Opel	
	AC	4,614,861	09-30-1986	Pavlov et al.	
	AD	5,104,149	04-14-1992	Hoppe	
	AE	5,355,411	10-11-1994	MacDonald	
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	AY	2006/0261152 A1	11-23-2006	Wong	

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	Examiner	Date	
	Signature	Considered	

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Substitute for form 1449/PTO Complete if Known Application Number 09/760,271 INFORMATION DISCLOSURE Filing Date January 12, 2001 Applicant STATEMENT BY APPLICANT Harris (et al) Art Unit 3682 (use as many sheets as necessary) **Examiner Name** Alvarez, Raquel 2 of 11 Attorney Docket Number 0013-011P1 Sheet

		F	OREIGN PATEN	T DOCUMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number (Kind Code ⁵ ((Farmer))	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AZ	WO 2000/033497 A2	06-08-2000	Transactionsecure, L.L.C.		
	BA	WO 00/049586 A1	08-24-2000	Orbis Patents Ltd.		
	BB	WO 2001/061640 A1	08-23-2001	Ong		
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	BI	JP 10-105627 A	04-24-1998	Hitachi Software Eng Co.		
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	BS	JP 2002-298045 A	10-11-2002	Fujitsu Ltd.		
	ВТ	JP 2002-298045 A	10-11-2002	Fujitsu Ltd. (Mach. Trans.)		X
	BU	JP 2002-324219 A	11-08-2002	Seiko Instruments Inc.		

Examiner	Date	
Signature	Considered	

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l s	STATEMENT	BY /	APPLICANT	Applicant	Harris (et al)			
	(use as many s	heets a	as necessary)	Art Unit	3682			
			•	Examiner Name	Alvarez, Raquel			
Sheet	3	of	11	Attorney Docket Number	0013-011P1			

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6	
Initials	No.1	Country Code ³ Number ⁴ Kind Code ⁵ (forces)	MM-DD-YYYY	Cited Document	Figures Appear	1	
	$_{ m BV}$	JP 2002-324219 A	11-08-2002	Seiko Instruments Inc.		$ _{X}$	
	ъν	J1 2002-324219 A	11-00-2002	(English Translation)		Λ	
	BW	KR 2000-0024216 A	01-29-2000	You			
	BX	KR 2000-0037355 A	04-19-2000	Mobilians Com Co, Ltd. (Machine Translation)		X	
	BY	KR 10-2004-0072447 A	08-18-2004	Young (Machine Translation)		X	

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Examiner Initials	,						
	BZ Boards of Appeal of the European Patent Office / Datasheet for the Decision of December 13, 2006 regarding: Case No. T 1227/05-3.5.01.						
	CA Introduction of Credit Card that a User Can Set Up a Use Environment, Pack, Jong Min, Naver News, October 30, 2003.		X				
	СВ	Certificate Manager v3.0 the most Flexible Approach to digital Certificate and Smart Card Production to Date; Increased Interoperability Provides a cost effective and Manageable Solution for High-volume Production; Author(s) Unknown; Presswire, March 14, 2000 (Dialog Reference, file 9 #0019808924).					
	CC U.S. Patent Application Serial No. 09/617,361, Specification and claims as filed July 17, 2000.						
	CD	U.S. Patent Application Serial No. 09/617,361, Office Action dated July 7, 2003.					
	СЕ	U.S. Patent Application Serial No. 09/617,361, Office Action dated March 25, 2004.					
	CF	U.S. Patent Application Serial No. 09/617,361, Advisory Action dated July 29, 2004.					

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				Application Number	09/760,271	
11	NFORMATIC	N DI	SCLOSURE	Filing Date	January 12, 2001	
	STATEMENT	BY /	APPLICANT	Applicant	Harris (et al)	
	(use as many s		-	Art Unit	3682	
				Examiner Name	Alvarez, Raquel	
Sheet	4	of	11	Attorney Docket Number	0013-011P1	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials					
	CG	U.S. Patent Application Serial No. 09/617,361, Office Action dated February 28, 2005.			
	СН	U.S. Patent Application Serial No. 09/617,361, Office Action dated November 16, 2005.			
	CI	U.S. Patent Application Serial No. 09/617,361, Office Action dated August 30, 2006.			
	СЈ	U.S. Patent Application Serial No. 09/617,361, Restriction Requirement dated May 1, 2007.			
	СК	U.S. Patent Application Serial No. 09/617,361, Office Action dated July 6, 2007.			
	CL	U.S. Patent Application Serial No. 09/617,361, Office Action dated March 7, 2008.			
	СМ	U.S. Patent Application Serial No. 09/617,361, Notice of Appeal with Appeal Brief dated September 5, 2008.			
	CN	U.S. Patent Application Serial No. 09/617,361, Examiner's Answer Brief dated November 25, 2008.			
	СО	U.S. Patent Application Serial No. 09/617,361, Office Communication dated January 12, 2009.			
	СР	U.S. Patent Application Serial No. 09/617,361, Applicant's Reply Brief dated January 26, 2009.			

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5	STATEMENT	BY /	APPLICANT		Applicant	Harris (et al)
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				I	Examiner Name	Alvarez, Raquel
Sheet	5	of	11	/	Attorney Docket Number	0013-011P1

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Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	CQ	U.S. Patent Application Serial No. 09/617,361, Office Communication dated April 1, 2009.		
	CR	U.S. Patent Application Serial No. 09/617,361, Office Communication dated May 4, 2009.		
	CS	U.S. Patent Application Serial No. 09/617,361, Office Action dated September 3, 2009.		
	СТ	U.S. Patent Application Serial No. 09/617,361, Examiner's Answer Brief dated October 5, 2009.		
	CU	U.S. Patent Application Serial No. 09/617,361, Office Communication dated March 5, 2010.		
	CV	U.S. Patent Application Serial No. 09/617,361, Appeal Decision dated September 14, 2011.		
	CW	U.S. Patent Application Serial No. 09/617,361, Request for Rehearing dated November 14, 2011.		
	CX	U.S. Patent Application Serial No. 09/617,361, Office Communication dated January 20, 2012.		
	CY	U.S. Patent Application Serial No. 09/617,361, Notice of Allowance dated April 6, 2012.		
	CZ	PCT Application No. PCT/US2001/022313, International Search Report dated October 9, 2001.		

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	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	DA	PCT Application No. PCT/US2001/022313, Written Opinion dated July 24, 2003.			
	DB	PCT Application No. PCT/US2001/022313, International Preliminary Report on Patentability dated December 15, 2003.			
	DC	AU Application No. 2001273490, Office Action dated August 10, 2006.			
	DD	AU Application No. 2001273490, Office Action dated July 19, 2007.			
	DE	AU Application No. 2001273490, Office Action dated May 7, 2008.			
	DF	AU Application No. 2008202099, Office Action dated December 9, 2008.			
	DG	AU Application No. 2008202099, Office Action dated December 10, 2009.			
	DH	CA Application No. 2,415,366, Office Action dated January 11, 2012.			
	DI	CN Application No. 01812985.4, Office Action dated May 28, 2004 (English translation).	X		
	DJ	CN Application No. 01812985.4, Notice of Allowance dated January 14, 2005 (English translation).	X		

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	DK	EP Application No. 01 952 770.4-2221, European Search Report dated June 25, 2007.			
	DL	EP Application No. 01 952 770.4-2221, Office Action dated September 13, 2007.			
	DM	EP Application No. 01 952 770.4-2221, Office Action dated April 17, 2008.			
	DN	JP Application No. 2002-514625, Office Action dated May 16, 2011 (English translation).	X		
	DO	JP Application No. 2002-514625, Office Action dated November 16, 2011 (Non-English translation).			
	DP	KR Application No. 7017899/2002, Office Action dated August 24, 2007 (English translation).	X		
	DQ	KR Application No. 7017899/2002, Notice of Allowance dated May 30, 2008 (English translation).	X		
	DR	NZ Application No. 523746, Office Action dated January 29, 2004.			
	DS	NZ App. No. 523746, Notice of Allowance dated October 12, 2004.			
	DT	U.S. Patent Application Serial No. 10/889,227, Restriction Requirement dated September 19, 2006.			

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	· -		••	Examiner Name	Alvarez, Raquel
Sheet	8	of	11	Attorney Docket Number	0013-011P1

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	DU	U.S. Patent Application Serial No. 10/889,227, Office Action dated January 19, 2007.		
	DV	U.S. Patent Application Serial No. 10/889,227, Notice of Allowance dated June 15, 2007.		
	DW	PCT Application No. PCT/US2005/024242, International Search Report and Written Opinion dated February 12, 2007		
	DX	PCT Application No. PCT/US2005/024242, International Preliminary Report on Patentability dated April 12, 2007.		
	DY	AU Application No. 2005271884, Office Action dated April 9, 2010.		
	DZ	AU Application No. 2005271884, Notice of Allowance dated March 9, 2011.		
	EA	CN Application No. 200580030587.X, Office Action dated February 12, 2010 (English translation).	X	
	EB	CN Application No. 200580030587.X, Office Action dated July 7, 2011 (English translation).	X	
	EC	CN Application No. 201010239623.6, Office Action dated May 17, 2011 (English translation).	X	
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	EE	EP Application No. 05 769 377.2, European Search Report dated February 16, 2010.		
	EF	EP Application No. 05 769 377.2, Office Action dated July 01, 2010.		
	EG	IL Application No. 180640, Office Action dated January 31, 2010 (English translation).	X	
	EH	IN Application No. 379/DELNP/2007, Office Action dated March 25, 2011 (English trans).	X	
	EI	JP Application No. 2007-521513, Office Action dated July 6, 2011 (English translation).	X	
	EJ	JP Application No. 2007-521513, Office Action dated February 1, 2012 (English translation).	X	
	EK	KR Application No. 7002939/2007, Office Action dated October 17, 2011 (English translation).	X	
	EL	MX Application No. MX/A/2007/000484, Office Action dated June 3, 2009 (English translation).	X	
	EM	MX Application No. MX/A/2007/000484, Office Action dated December 15, 2009 (English translation).	X	
	EN	MX Application No. MX/A/2007/000484, Notice of Allowance dated May 24, 2010 (English translation).	X	

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Substitute for form 1449/PTO Complete if Known Application Number 09/760,271 INFORMATION DISCLOSURE Filing Date January 12, 2001 STATEMENT BY APPLICANT Applicant Harris (et al) Art Unit 3682 (use as many sheets as necessary) **Examiner Name** Alvarez, Raquel 10 of 11 Attorney Docket Number 0013-011P1 Sheet

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	ЕО	NZ Application No. 552703, Office Action dated August 11, 2008.			
	EP	NZ Application No. 552703, Office Action dated August 18, 2009.			
	EQ	NZ Application No. 552703, Office Action dated November 19, 2009.			
	ER	NZ Application No. 552703, Notice of Allowance dated March 10, 2010.			
	ES	U.S. Patent Application Serial No. 11/895,837, Office Action dated June 12, 2008.			
	ET	U.S. Patent Application Serial No. 11/895,837, Office Action dated March 9, 2009.			
	EU	U.S. Patent Application Serial No. 11/895,837, Notice of Allowance dated January 11, 2010.			
	EV	U.S. Patent Application Serial No. 11/895,837, Office Communication dated June 9, 2010.			
	EW	U.S. Patent Application Serial No. 12/803,219, Office Action dated August 31, 2010.			
	EX	U.S. Patent Application Serial No. 12/803,219, Office Action dated May 9, 2011.			

Examiner	Date	
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Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/760,271
11	NFORMATIC	N DI	SCLOSURE	Filing Date	January 12, 2001
5	STATEMENT	BY /	APPLICANT	Applicant	Harris (et al)
	(use as many s		-	Art Unit	3682
,				Examiner Name	Alvarez, Raquel
Sheet	11	of	11	Attorney Docket Number	0013-011P1

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹				
	EY	U.S. Patent Application Serial No. 12/803,219, Notice of Allowance dated August 8, 2011.			
	EZ	U.S. Patent Application Serial No. 13/300,142, Office Action dated April 2, 2012.			
Examiner	<u>' </u>	Date			

Examiner	Date	
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